

PATENT

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Date of Signature and Deposit: 5/29/07

John D. Franzini, Reg. No. 31,356

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Markku Annala  
 Application No.: 10/521,048  
 Filed: August 24, 2005  
 For: Sawing Device and its Safety System for Precaution of a Breaking Saw Chain  
 Group Art Unit: 3724  
 Examiner: --  
 Confirmation No.: 6593  
 Atty. Docket No.: 750596.00003

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§1.97 AND 1.98

MS Amendment  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Sir:

This paper is being presented for filing in the above case pursuant to Rules 97 and 98 of the Rules of Practice. An Information Disclosure Statement by Applicant, Form U. S. Patent and Trademark Office/SB/08a is attached which lists the references cited and copies of the non-U. S. references are enclosed.

These are materials from oppositions that have been filed in the European Patent Office for the corresponding European patent application, including the arguments made by the opposers in the Notices of Opposition and their discussions of the references.

The Commissioner is hereby authorized to charge any additional fees due or to credit any overpayment to deposit account no. 17-0055.

Respectfully submitted,

John D. Franzini  
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number		10521048
Filing Date		2005-01-12
First Named Inventor	Markku Annala	
Art Unit	3724	
Examiner Name		
Attorney Docket Number	750596.00003	

	3	446250	SE		1986-08-25	Janscak, Jerzy		<input checked="" type="checkbox"/>
	4	98/53666	WO	A1	1998-03-12	Hultdin System AB		<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button

**NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
	1	Exhibit A - EP 1 528 852 B1, corresponding EP patent which is the subject of the Notices of Opposition (Exhibits B-E).	<input type="checkbox"/>
	2	Exhibit B - Notice of Opposition to a European Patent; Opponent: Komatsu Forest AB; dated 01-04-2007.	<input type="checkbox"/>
	3	Exhibit C - Notice of Opposition to a European Patent; Opponent: Rottne Industri AB; dated 01-05-2007.	<input type="checkbox"/>
	4	Exhibit D - Notice of Opposition to a European Patent; Opponent: Ponsse Oyj; dated 01-11-2007.	<input type="checkbox"/>
	5	Exhibit E - Notice of Opposition to a European Patent; Opponent: SP-Maskinder i Ljungby AB; dated 01-12-2007.	<input type="checkbox"/>
	6	Exhibit F - Hultdins SuperSaw 550 F11-10 BSP; Parts Manual; cited in Exhibit E; alleged by opposer to be prior art--alleged date currently uncontested.	<input type="checkbox"/>
	7	Exhibit G - Hultdins SuperSaw 4000S; Parts Manual; cited in Exhibit E; alleged by opposer to be prior art--alleged date currently uncontested.	<input type="checkbox"/>

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Exhibit F - Rottne 53-171; exploded view of a chainsaw; cited in Exhibit E; dated March 1988.



If you wish to add additional non-patent literature document citation information please click the Add button

**EXAMINER SIGNATURE**

Examiner Signature	/Shelley Self/	Date Considered	10/20/2008
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)



Application Number	10521048
Filing Date	2005-01-12
First Named Inventor	Markku Annala
Art Unit	3724
Examiner Name	
Attorney Docket Number	750596.00003

## CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

☐ See attached certification statement.

☒ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

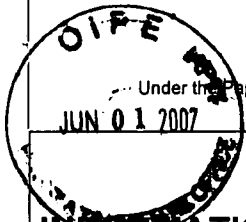
☐ None

## SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature		Date (YYYY-MM-DD)	5/29/07
Name/Print	John D. Franzini	Registration Number	31,356

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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**U.S. PATENTS**

Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	3542094		1970-11-24	Gibson, et al.	
	2	5802946		1998-09-08	Leini	

If you wish to add additional U.S. Patent citation information please click the Add button.

**U.S. PATENT APPLICATION PUBLICATIONS**

Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1					

If you wish to add additional U.S. Published Application citation information please click the Add button.

**FOREIGN PATENT DOCUMENTS**

Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup> i	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
	1	1224437	GB		1971-03-10	Omark Industries, Inc.		<input type="checkbox"/>
	2	1080586	CA		1980-07-01	Koehring Canada Limited		<input type="checkbox"/>